

Does this policy apply to you?	
Undergraduate students ( <i>Commencing pre September 2019</i> )	Yes
Undergraduate students ( <i>Commencing post September 2019</i> )	No
Post Graduate Students	Yes

## Student Complaints Policy

### University Partnerships Europe UPE NPR QS10a: Student Complaints Version 2.1

#### 1. Introduction

Navitas University Partnerships Europe (UPE) is committed to providing a high quality service to its students and to monitoring regularly the effectiveness of its services. Feedback and comments on these services are always welcome. A number of opportunities are available to provide feedback to the College on any aspect of the service delivered. They include formal committees, student surveys, student representative meetings and focus groups.

The Student Complaint procedure has been designed to allow students who are enrolled at a Navitas College to seek redress for any disadvantage, damage or distress caused by inappropriate acts, behaviour or omissions of a UPE Navitas College or European Head Office or its representatives.

Former students may raise a complaint provided the complaint is about a matter which occurred whilst they were enrolled as a student and they comply with the timescales for the submission of complaints set out below.

The complaints procedure for students is one aspect of the College's quality assurance procedures; complaints are therefore considered as useful feedback and, where appropriate, will be used to facilitate improvements to services and facilities. This will be achieved through semester reporting to the Academic Registry and, where appropriate, the College Progression Board, to ensure that outcomes and recommendations from the formal procedure are actioned.

#### 2. Definition of a Student Complaint

A complaint is defined by the Office for Independent Adjudicator of Higher Education (OIAHE), as “an expression of dissatisfaction by one or more students about a provider’s action or lack of action, or about the standard of service provided by or on behalf of the

provider.” It can be brought by one or more students against one or more individuals working for a Navitas UPE College or European Head Office in the UK.

## 2.1 Examples of complaints include

- Failure by Navitas to meet obligations including those outlined in learning contracts, course/student handbooks or the Student Charter
- Misleading or incorrect information in publicity or promotional material and other information provided by Navitas
- Concerns about the delivery of a programme, teaching or administration provided by Navitas
- Poor quality of facilities, learning resources or services provided directly by Navitas
- Complaints involving other organisations or contractors providing a service on behalf of the provider.

This list is not intended to be exhaustive and, where appropriate, other matters will be considered under the Complaints Procedure

Some Partner Universities may allow students to make a complaint relating to the academic provision of a Navitas-run programme directly to them. In such cases Navitas will facilitate the process where possible.

## 2.2 Exclusions

The following concerns/complaints cannot be made under the Complaints Procedure:

- Complaints raised anonymously will not be considered under this procedure (but see 3.2 below).
- Decisions which amount to “academic judgement” including any decision that is made by an Academic Committee or Board of Examiners. These are covered by the regulations for **Academic Appeals** (See NPR QS10b).
- Decisions made in relation to mitigating circumstances. These are covered by the regulations for the consideration of mitigating circumstances.
- Complaints about issues over which Navitas UPE has no control.
- Complaints submitted more than two months after the act or omission being complained about are not normally be admissible.
- Complaints which are covered by other procedures.

Navitas will investigate all Complaints as fully as possible. Therefore students are not allowed to submit a subsequent Complaint if the content is substantially similar to one already considered.

### **3. Protocol**

#### **3.1 Behaviour**

All parties involved in a complaint or appeal are required to act reasonably, fairly and courteously towards each other and to respect the Navitas UPE procedures. In addition, any student who wishes to raise an Academic Appeal should feel able to do so without fear of subsequent victimisation.

#### **3.2 Anonymity**

Where a complaint is made anonymously, normally no action will be taken. There may, however, be exceptional circumstances where the Complaints Investigator deems it appropriate to take action or investigate a matter on the basis of a complaint that is made anonymously.

#### **3.3 Third Party Complaints**

No investigation of a complaint made on behalf of a student will be undertaken without that student's written agreement to the concerns raised, and written consent for an investigation to be carried out. This includes complaints made by the parent or spouse of the student concerned.

#### **3.4 Vexatious or Malicious Complaints**

A vexatious or malicious complaint is defined as a complaint which is patently unsustainable, having been put forward so as to abuse the process of the complaints procedure or, for example, to attempt to defame the name or character of another person. The College may consider invoking disciplinary procedures in cases where complaints are found to be vexatious or malicious. All such scenarios must be reported to the Navitas UPE Academic Registry.

#### **3.5 Complaints made by students under the age of 18 years**

If a complaint is made under the Formal Procedure by a student who is under the age of 18, unless it is the student's express wish that this should not be done, the Investigating Officer will notify the parents or guardians of the student in writing, and keep them informed of the progress of the complaint – generally via email or telephone. Navitas will permit the parents or legal guardians of the student to act on the student's behalf during the process, provided the student has confirmed agreement in writing beforehand (see NPR M1).

#### **3.6 Group complaints**

Where a complaint is brought by a group of students, one person should be prepared to identify him/herself as spokesperson and correspondent for the purposes of the Formal Procedure, and each member of the group must be able to demonstrate that he/she has been

personally affected by the matter which is the subject of the complaint. In addition, all complainants must agree in writing to the spokesperson acting on his/her behalf.

### **3.7 Access to Information**

Students pursuing a complaint through the procedure will be entitled to apply for access to personal data in accordance with the policies and procedures of the University under the provisions of the **The General Data Protection Regulation (GDPR)** (EU) 2016/679. Applications should be made in writing to the Academic Registry.

### **3.8 Sharing of Information**

We will only share information about your complaint or appeal with other parties where disclosure is necessary in order to ensure a fair investigation, and subject to your written consent.

### **3.9 Accompaniment at the complaint hearing**

The complainant, if he/she wishes, may be accompanied to any Complaint Hearing by a friend or representative for support or representation as appropriate. The friend or representative shall be permitted to put forward the student's case under the direction of the Chair of the Hearing, and shall be permitted to ask questions of the College representative. In the event that the complainant is unable to attend, the Hearing will be rescheduled. This person may not be a lawyer acting in a professional capacity and may not give evidence except on matters of which they have direct knowledge.

### **3.10 Time Limits**

Time limits should usually be met by all parties. Time limits may be extended by the Investigating Officer where it is necessary to do so in order to ensure a fair outcome.

## **4. Procedure for Making a Complaint**

### **4.1 Definitions and Advice**

The Academic Registry can provide authoritative advice on how the complaints process works, who to approach and how to complete the form and submit supporting evidence. Students may also wish to seek advice and help from their Student Representative or Student Union officer.

The regulations make a clear distinction between a “Concern” and a “Complaint”.

**A Concern (Informal)** is “an opportunity for a student to bring a matter that they are unhappy about to the attention of Navitas”.

**A Complaint (Formal)** is “a formal statement by a student to which Navitas must respond and which the student has the right to pursue if they are not satisfied with that response.”

Any issue raised with any member of staff at a Navitas College or Head office, either orally in writing, will be treated as a **Concern**. If a Complaints and Appeals Form is submitted it will be considered as a **Complaint**.

#### **4.2 Procedure to Deal with a Concern (Informal)**

It is anticipated that most complaints will be resolved through informal and local means. Students wishing to complain about a member of staff or any aspect of the College’s service should, in the first instance, review whether they have fulfilled their responsibilities under the particular NPR or service about which they are complaining.

Once a **Concern** has been received, resolution should be sought from the area in which the concern arose, by discussing the concern with the most appropriate member of staff (e.g. the academic tutor or their line manager) or the Student Services Officer. In those areas where a local procedure to deal with complaints exists, that procedure should be followed. A student should normally expect to receive a written or verbal acknowledgement of the complaint and the process to be undertaken within 2 working days and a full response within one calendar month. This timescale may need to be extended during College vacations.

#### **4.3 Procedures to Deal with a Formal Complaint- Stage 1**

If the response to the Concern under the informal procedure is considered by the student to be unsatisfactory, she/he may invoke Stage 1 of the Formal Procedure by completing the Student Appeals and Complaints Form (Form QS10), and submitting it to the Academic Registry.

The Student Appeals and Complaints form (**Form QS10**) should include this information:

- The grounds upon which the formal complaint is being made and reasons why it was not resolved informally
- Facts and evidence to support the complaint
- The remedy which the student is seeking

The Academic Registry will appoint an Investigating Officer to investigate the complaint. The Investigating Officer shall acknowledge receipt of the Complaint, normally within 5 working days. Before proceeding further, the Investigating Officer may require further clarification of the complaint.

The form should detail the aspect of the NPR or service which the student believes has not been fulfilled and why this is the case, or other serious grounds for complaint, together with a statement of what has been done by the student to attempt resolution with the person/s involved, and why action taken by the College or Head Office following notification of the complaint has been inadequate. The form of resolution or redress sought should also be clearly indicated.

The Investigating Officer will attempt resolution at this stage either by correspondence between the parties, negotiation with the individuals or issue about which the complaint is being made and, where appropriate, other senior members of the College, or facilitation of a conciliation meeting between the student concerned and those individuals involved. The circumstances of the complaint will dictate which of these methods is considered most likely to result in a resolution of the complaint to the satisfaction of the student.

It is anticipated that Stage 1 of the Formal Procedure would normally be completed, with a response in writing from the Academic Registry, within **one calendar month** of the receipt date of the completed Complaint Form. This timescale may need to be extended during College vacations.

#### **4.4 Stage 2- Appeal**

If the complainant is not satisfied with the response from the Investigating Officer, or if a response is not received within the procedure's timescales, they may request that their complaint is considered at Stage 2 (Appeal). To do this the complainant must write to the Academic Registry within 10 working days from the Stage 1 response letter or the deadline for the Stage 1 response, if a response has not been received. The request must clearly state:

- (a) that the student would like to appeal the decision that was taken in Stage 1;
- (b) the reasons why the student believes that the response is unsatisfactory;
- (c) the remedy the student is seeking;

Taking into account the substance of the complaint and the previous attempts at resolution, the case will then be reviewed by the Academic Registry where a decision will be made about whether the matter should be referred to a Complaint Hearing.

Should a Complaint Hearing be appropriate, a panel chaired by Chief Operations Officer (Navitas UPE), and consisting of two members of academic or support staff drawn from another Navitas UPE College, will be convened. The Director of Learning, Teaching and Academic Quality Navitas UPE or the HR Support Manager Navitas UPE will act as technical adviser to the Panel.

If the complaint relates to the actions of an individual member of staff, rather than an academic or service department/area, that individual has the right to be informed of the substance of the complaint and to attend the Complaint Hearing and be represented.

The Complaint Hearing will meet normally within **one calendar month** (excluding College vacations) of the referral from Part I, and communicate its conclusions to the student and the relevant persons within one calendar month. The Chair will keep all parties informed of progress and will explain reasons for any necessary extension of the timescale, for example, if an adjournment in the proceedings is necessary.

Should a complaint be upheld, the Chair of the Complaint Hearing may make recommendations/conditions to the College Management and/or Navitas Senior Management. Recommendations/conditions may also be made to the College Module Panel or Progression Board in respect of quality assurance procedures or policies.

If a complaint is not upheld, the complainant will be informed in writing with reasons for its rejection.

Any conclusions and recommendations of the Panel will be communicated in writing to the complainant, the College Director/Principal and the Academic Registry. A report on each case which comes before a Complaint Hearing will also be prepared and noted by the Academic Registry.

## 5. Timescales

Stage of Procedure	Timescale	Responsibility
<b>INFORMAL</b>		
Concern raised (Informal Complaint)	Anytime	Student (s)
Acknowledgement of Receipt of Informal Concern	Within two working days of receipt of concern	College Representative
Written response to Concern	Within one calendar month	College Representative
<b>FORMAL</b>		
Submission of Student Complaints and Appeals Form QS10	Within two months of the incident or receipt of Written Response to Concern	Student (s)
Acknowledgment of Receipt of Complaints and Student Complaints and Appeals Form QS10	Within five working days of submission of form	Navitas Academic Registry
Level 1 Response	Within one calendar month of the receipt of the Complaint or Appeals form	Investigating Officer
Request to progress to Level 2	Within ten working days from the Stage 1 response letter or the deadline for the Stage 1 response, if a response has not been received	Student (s)
Response to Level 2 Request-Appeal	Within five working days of receipt of request	Navitas Academic Registry
Response to Level 2 - Appeal	Within one calendar month of referral to Level 2	Chief Operating Officer

## 6. Reference Points

This policy has been updated in August 2018 and is guided by the OIAHE The good practice framework: handling student complaints and academic appeals and delivering learning opportunities with others.

For further information:

<http://www.oiahe.org.uk/media/96361/oia-good-practice-framework.pdf>

<http://www.oiahe.org.uk/media/114634/oia-gpf-delivering-learning-opportunities-with-others.pdf>

## **7. External review: Office of the Independent Adjudicator for higher education**

If a student remains dissatisfied with the outcome of their complaint they may be able to apply for a review of the complaint to the Office of the Independent Adjudicator for higher education (OIA), providing that the complaint is eligible under its rules. <https://www.oiahe.org.uk/>

Information on the OIA and an introduction to the OIA for students can be downloaded from <https://www.oiahe.org.uk/resources-and-publications/guidance-leaflets/>.

Students require a completion of procedures letter confirming the completion of Navitas' internal procedures when submitting a complaint to the OIA.

Should a student decide to make a complaint to the OIA, then their OIA complaint form must be received by the date set out in the completion of procedures letter (currently 12 months from the date of the completion of procedures letter).

Further guidance on submitting complaints to the OIA and the complaint form cannot be found on the OIA website <http://www.oiahe.org.uk/students/how-to-complain-to-us/>